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## COLPs, COFAs and Managing Compliance 2014

### Overview

Attendance at this course will be helpful for those stepping into either of the reporting officer roles by way of replacement, to those firms applying to the SRA to vary their current status, or to anyone seeking to establish a new practice. In all cases the ability of the firm and the proposed office holders to meet their obligations is likely to feature in the information that will be required. This highly practical course will explain the background to the reporting officer roles introduced by the SRA Authorisation Rules and how the relationship with the regulator needs to be managed. The session will also cover guidance on how to compile suitable compliance materials and feature any up to date developments at the time of the course.

- The roles of COLP and COFA - an explanation or reminder
- Compliance materials and reporting obligations
- The Code of Conduct and the Accounts Rules - likely breaches
- The duties to monitor, record and possibly report
- Compliance update

### Details

<b>Type</b>	Course
<b>Level</b>	Foundation
<b>CPD</b>	5 hours

### Fees

**£195.00**  
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**£420.00**  
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(Excluding VAT)

## Speakers

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Matthew Moore

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## Brochure

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## Book

**Wed 21 May 2014**  
10:00 | [Manchester](#)  
[Matthew Moore](#)

[Book](#)

**Thu 26 Jun 2014**  
10:00 | [London](#)  
[Matthew Moore](#)

[Book](#)

**Wed 17 Sep 2014**  
10:00 | [Bristol](#)  
[Matthew Moore](#)

[Book](#)

**Thu 18 Sep 2014**  
10:00 | [Leeds](#)  
[Matthew Moore](#)

[Book](#)

**Wed 15 Oct 2014**  
10:00 | [London](#)  
[Matthew Moore](#)

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## Related items

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 [Management Course Stage 1](#)

 [COLP and COFA update - Webinar](#)

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