



**Birmingham**  
Law Society

## Annual Compliance Update 2013 12.11.2013

For full list of courses visit our website [www.birminghamlawsociety.co.uk](http://www.birminghamlawsociety.co.uk)

Date: Tuesday 12 November 2013

Time: 13:00 registration and lunch, 13:30 - 16:30 training

Venue: Anthony Collins, 134 Edmund Street, Birmingham, B3 2ES

CPD: 3 Hours CPD Accredited by the SRA

Fees: £99 + vat for members, £115 + vat for non members

Speaker: Jayne Willetts and Matthew Moore

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As we approach the first anniversary of law firm COLPs and COFAs taking up their roles what are the lessons to be learned from the operation of the new compliance regime to date?

In this inter-active workshop session local compliance experts Jayne Willetts and Matthew Moore will offer practical advice to delegates on the compliance responsibilities of all firms, highlighting any new developments at the time.

- COLP and COFA records and reports: Once again, just what is a 'material' breach
- How much time should be allotted to the compliance roles? Are we doing too little or too much?
- The SRA risk review - how to ensure you have the right profile with the regulator
- Updates to note and act upon and likely changes ahead;
- The SRA's approach to financial stability - where are we now?

### Speakers

**Matthew Moore LL.B, MCIPD, CdipAF Solicitor.** Matthew is a director of Infolegal Ltd which provides the Colpline practice advice service. He is also a consultant

solicitor with regulatory specialists Jayne Willetts & Co. in Birmingham. He was the authorised trainer for the Law Society in its Lexcel scheme from 1999-2007 and is the co-author of 'The Solicitors Office Procedures Manual' ([www.solicitors-opm.co.uk](http://www.solicitors-opm.co.uk)). He has also written extensively on the subjects of money laundering and mortgage fraud.

**Jayne Willetts, LL.B, Solicitor Advocate.** Jayne is a Solicitor Advocate with her own specialist professional regulation practice Jayne Willetts & Co. She regularly appears as an advocate before the Solicitors Disciplinary Tribunal. Described in Chambers & Partners Directory as “a formidable defence advocate” much of her work involves advising individual solicitors, law firms and also new entrants to the legal services market on all aspects of professional regulation. A former President of Birmingham Law Society and regular columnist in the Society’s Bulletin Jayne is also Legal Adviser to the Conduct Committee of the Chartered Institute of Architectural Technologists.

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If you have any queries please email: [training@birminghamlawsociety.co.uk](mailto:training@birminghamlawsociety.co.uk)