

# The New SRA Handbook

Including:

- The New Code of Conduct
- Accounts Rules
- Impact of Outcomes Focused Regulation

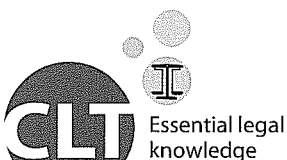
20 September 2011, London

6 Hours CPD

Vital Information  
for Lawyers

*This one day conference provides information on the new rules, help and guidance on adapting to the new regime, and the opportunity to raise questions and receive guidance on practical implementation problems.*

book via our website:  
[www.clt.co.uk](http://www.clt.co.uk)



**9.00** Registration and Coffee

**9.30** Chairman's Welcome and Introduction

*Peter Camp, Educational & Professional Services*

**9.45** The New Relationship with the SRA

- Timetable for implementation
- New licensing powers
- The disciplinary process

*Jayne Willetts, Jayne Willetts & Co*

**10.30** The New Handbook – the SRA's Online Rulebook

- An overview of its contents
- The Principles and outcomes – how they work within the Handbook
- Authorisation Rules – the essentials about authorisation of a firm and its owners and managers
- All other rules – what they cover and what's new

*Bronwen Still, Seven Hills Legal Training and Services Limited*

**11.15** Coffee

**11.30** The New Code and the Move to Outcomes Focused Regulation. What's new? What's Different?

- How the Code will be structured
- The outcomes - what will this mean for my firm and my clients?
- What changes of substance do I need to be aware of?
- What do I need to do now to prepare?

*Bronwen Still, Seven Hills Legal Training and Services Limited*

**12.15** The New Accounts Rules

- Responsibility for compliance (including appointment of COFA)
- Status of notes

- Out-of-scope money
- Authority for withdrawal of money from client account
- Interest

*Peter Camp, Educational & Professional Services*

**1.00** Questions

**1.15** Lunch

**2.15** Management Policies and Processes

- The enhanced need for supervision
- Quality standards now and under the new regime

*Matthew Moore, Web4Law Limited*

**3.00** Tea

**3.15** Client Care

- Acceptance and termination of instructions
- Fees
- Indemnity insurance
- Complaints
- Information for clients
- Accounting for financial benefits

*Peter Camp, Educational & Professional Services*

**4.00** Risk Management

- Developing a comprehensive risk policy for compliance
- Money laundering, terrorist financing and other key issues

*Matthew Moore, Web4Law Limited*

**4.45** Chairman's Concluding Remarks and Questions

**5.00** Conference Close